

N. J. BHATIAI COMPANY SECRETARY

SECRETARIAL COMPLIANCE REPORT

OF LUPIN LIMITED FOR THE YEAR ENDED MARCH 31, 2022

I, N	s. Neena J. Bhatia, Company Secretary in practice have examined: -							
a.	all documents and records made available to me and explanations provided by Lupin Limited;							
b.	filings/submissions made by Lupin Limited to the Stock Exchanges;							
C.	website of Lupin Limited; and							
d.	such other document/filing, as may be relevant, which has been relied upon to make this certification.							
for th	e year ended March 31, 2022 ('Review Period') in respect of compliance with the provisions of: -							
a.	the Securities and Exchange Board of India Act, 1992 ('SEBI Act') and Regulations, circulars, guidelines issued thereunder; and							
b.	the Securities Contracts (Regulation) Act, 1956 ('SCRA'), Rules made thereunder and Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ('SEBI').							
	cific Regulations, whose provisions and circulars/guidelines issued thereunder, have been mined, include: -							
a.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;							
b.	SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018;							
c.	SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;							
d.	SEBI (Prohibition of Insider Trading) Regulations, 2015;							
e.	SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;							
f.	SEBI (Depositories and Participants) Regulations, 2018;							
g.	SEBI (Investor Protection and Education Fund) Regulations, 2009;							
h.	SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 and SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) (Amendment) Regulations, 2018; and							
i.	SEBI (Registrars to an Issue and Share Transfer Agents) Regulations, 1993							
	and circulars/ guidelines issued thereunder;							
,	and based on the above examination, I hereby report that, during the Review Period: -							
	COMPANY AECRETARY							

COMPANY AFCRETARY
C-93, Shehadhara,
Dadabhai Oross Road No. 3,
Vile Parle (W), Mumbai - 400 056.
FCS 9492 CP No. 2661

Lupin Limited has complied with the provisions of the above Regulations and circulars guidelines issued thereunder, except in respect of matters specified below: -									
Sr. No.	Compliance (Regulations idelines	Req	uirement ulars/gu		eviations Obs		ervations/Remarks of Practicing Company		
			No	ot App	licable				
Lupin Limited has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from my examination of those records.									
The following are the details of actions taken against Lupin Limited/ its promoters/ directors material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder:									
Sr no	Action taken by		tion taken E.g. fi		fines, letter,	nes, of the Practicing			
Not Applicable									
Lupin Limited has taken the following actions to comply with the observations made in previous reports:									
Sr. No.	the Practicir Company Secretary in	the Practicing Company Secretary in the		s made in the secretarial compliance		Actions taken by the listed entity, if any		Comments of the Practicing Company Secretary on the actions taken by the listed entity	
	Sr. No. Lupin Lim circulars/records. The follow material so Operating Acts/Regions Sr no Lupin Lim reports:	Sr. No. Compliance (Regulations idelines specific clauses) Lupin Limited has maintate circulars/guidelines issurecords. The following are the dematerial subsidiaries eit Operating Procedures Acts/Regulations and circulars/Regulations and circulars/Regulations/Regulatio	Sr. No. Compliance Req (Regulations/circ idelines is specific clause) Lupin Limited has maintained circulars/guidelines issued the records. The following are the details material subsidiaries either by Operating Procedures issue Acts/Regulations and circulars Sr no Action taken by Lupin Limited has taken the following procedures is sue Acts/Regulations and circulars Sr no Observations of the Practicing	Sr. No. Compliance Requirement (Regulations/circulars/guidelines including specific clause) No. Lupin Limited has maintained proper record circulars/guidelines issued thereunder in strecords. The following are the details of actions talmaterial subsidiaries either by SEBI or by Operating Procedures issued by SEBI Acts/Regulations and circulars/guidelines is Sr no Action taken by Details of violation Sr no Details of violation Not Company Secretary in the previous reports of the Practicing Company Secretary in the previous reports or year end	Sr. No. Compliance Requirement (Regulations/circulars/gu idelines including specific clause) Not App Lupin Limited has maintained proper records und circulars/guidelines issued thereunder in so far records. The following are the details of actions taken as material subsidiaries either by SEBI or by Stoc Operating Procedures issued by SEBI throu Acts/Regulations and circulars/guidelines issued Sr no Action Details of Details violation taken warn debates Not Appli Lupin Limited has taken the following actions to correports: Sr. No. Observations of the Practicing Company Secretary in the previous reports previous reports Observation of the Practicing Company Secretary in the previous reports report for the year ended	Sr. No. Compliance Requirement (Regulations/circulars/gu idelines including specific clause) Not Applicable Lupin Limited has maintained proper records under the procirculars/guidelines issued thereunder in so far as it apprecords. The following are the details of actions taken against Lupmaterial subsidiaries either by SEBI or by Stock Exchan Operating Procedures issued by SEBI through variou Acts/Regulations and circulars/guidelines issued thereunded Sr no Action Details of taken E.g. warning debarment, etc. Not Applicable Lupin Limited has taken the following actions to comply with reports: Sr. No. Observations of the Practicing Company Secretary in the previous reports Provious reports Observations of the Practicing compliance report for the year ended	Sr. No. Compliance Requirement (Regulations/circulars/gu idelines including specific clause) Not Applicable Lupin Limited has maintained proper records under the provisions of circulars/guidelines issued thereunder in so far as it appears from records. The following are the details of actions taken against Lupin Limited material subsidiaries either by SEBI or by Stock Exchanges (incomperating Procedures issued by SEBI through various circulacts/Regulations and circulars/guidelines issued thereunder: Sr no Action taken by Details of Details of action taken E.g. fines, warning letter, debarment, etc. Not Applicable Lupin Limited has taken the following actions to comply with the obsingences: Sr. No. Observations of the Practicing Company Secretary in the previous reports of the p	Sr. No. Compliance Requirement (Regulations/circulars/gu idelines including specific clause) Not Applicable Lupin Limited has maintained proper records under the provisions of the circulars/guidelines issued thereunder in so far as it appears from my records. The following are the details of actions taken against Lupin Limited/ it material subsidiaries either by SEBI or by Stock Exchanges (including Operating Procedures issued by SEBI through various circulars) Acts/Regulations and circulars/guidelines issued thereunder: Sr no Action taken by Not Applicable Lupin Limited has taken the following actions to comply with the observative reports: Sr. No. Observations of the Practicing Company Secretary in the previous reports Provious reports Actions taken by the listed entity, if any	

Ms. Neena Bhatia

(Company Secretary) FCS No: 9492 CP. No.: 2661

Place: Mumbai, Date: May 18, 2022 UDIN: F009492D000337633

NEENA BHATIA COMPANY SECRETARY C-93, Snehadhara, Dadabhai Cross Road No. 3, Vile Parle (W), Mumbai - 400 056. FCS 9492 CP No. 2661